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3739

PTO/SB/21 (02-04)

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number

10/038,506

Filing Date

November 9, 2001

First Named Inventor

Michael D. Hooven

Art Unit

3739

Examiner Name

Rosiland S. Rollins

Attorney Docket Number

0320-0014

**ENCLOSURES (Check all that apply)**

Fee Transmittal Form



Fee Attached



Amendment/Reply



After Final



Affidavits/declaration(s)



Extension of Time Request



Express Abandonment Request



Information Disclosure Statement



Certified Copy of Priority Document(s)

Response to Missing Parts/  
Incomplete ApplicationResponse to Missing Parts  
under 37 CFR 1.52 or 1.53

Drawing(s)



Licensing-related Papers



Petition

Petition to Convert to a  
Provisional ApplicationPower of Attorney, Revocation  
Change of Correspondence Address

Terminal Disclaimer



Request for Refund



CD, Number of CD(s) \_\_\_\_\_

After Allowance communication  
to GroupAppeal Communication to Board  
of Appeals and InterferencesAppeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)

Proprietary Information



Status Letter

Other Enclosure(s) (please  
Identify below):

- Certificate of Service

- Sixth Supplemental Information  
Disclosure Statement

- Return Postal Card

Remarks

By U.S. Postal Service Express Mail  
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Individual nameCook, Alex, McFarron, Manzo, Cummings & Mehler, Ltd.  
Gary W. McFarron, Esq., (Reg. No. 27,357)

Signature

Date

MAY 6, 2004

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May 6, 2004

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Attorney Docket No. (0320-0014) PATENT  
HOOV 114

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In Re Application of:

Michael D. Hooven

Serial No.: 10/038,506

Filed: November 9, 2001

Group Art No.: 3739

Examiner: Rosiland S. Rollins

For: TRANSMURAL ABLATION DEVICE  
WITH PARALLEL JAWS

**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

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NAME Francisca M.A. Hubbard

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1. Transmittal Cover PTO/SB/21 (1 sheet);
2. Sixth Supplemental Information Disclosure Statement (3 sheets);
3. Information Disclosure Statement by Applicant with enclosure;
4. Certificate of Mailing (1 sheet); and
5. Return-Receipt Postcard.

Name: Francisca M.A. Hubbard

Signature: Francisca M.A. Hubbard



Attorney Docket No. (0320-0014) PATENT  
HOOV 114

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NAME Francisca M. A. Hubbard

SIGNATURE Francisca M. A. Hubbard

Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

**SIXTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Pursuant to 37 C.F.R. § 1.97, Applicant hereby calls the Examiner's attention to the document listed on the attached form, which the document may be material to the examination of this application. A copy of the document is enclosed herewith for the Examiner's consideration.

No inference should be drawn that any apparatus or method disclosed is equivalent to the subject invention. Also, the citation of the above-discussed document is not to be construed as an assertion that more pertinent art could not possibly be in existence. Citation of the document herein is not to be construed as an admission that any subject matter disclosed in the document is necessarily within the inventive field of endeavor, that any

disclosure is necessarily prior in time to a particular date which may be relevant to the instant patent application, and/or that any disclosure is otherwise necessarily prior art with respect to the instant invention.

Applicant also respectfully reserves the right to later set forth how the instant invention is distinguished over the disclosure of any document or other art, including the disclosure of the document discussed herein, that may be cited by the Examiner in rejecting a claim in the present patent application.

This Supplemental Information Disclosure Statement is being filed after the mailing date of a first Office Action on the merits but before a final action or an action that closes prosecution. The cited information was first called to the Applicant's attention in an Office Action dated April 22, 2004 in related Application No. 10/032,378 filed on 10/26/2001. Accordingly, pursuant to 37 C.F.R. § 1.97(e)(2), it is hereby stated: no item of this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the best knowledge of the person signing this certification after making a reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this information disclosure statement. Consequently, as provided in 37 C.F.R. § 1.97(c), a fee under § 1.17(p) is not required for the filing of this information disclosure. However, if it is determined that an additional fee is required, then

authorization is hereby granted to charge Deposit Account 50-1039  
any additional fee required.

Respectfully submitted,

Date:

MAY 6, 2004

By:

Gary W. McFarron

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**Complete if Known**

Application Number	10/038,506
Filing Date	November 9, 2001
First Named Inventor	Michael D. Hooven
Art Unit	3739
Examiner Name	Rosiland K. Rollins
Attorney Docket Number	0320-0014

Sheet	1	of	1
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Date	
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